FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | | | |
|-------------------|-----------|--|--|--|--|--|--|--|--|
| OMB Number: | 3235-0287 | | | | | | | | |
| Estimated average | hurden | | | | | | | | |

0.5

hours per response:

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>SPROWLS ROBERT J</u> | | | | | | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR] | | | | | | | | heck all D | appli recto | or | | 10% Ov | vner | |
|--|---|--|--|-------|---|--|-------|--------------|-------------------------------------|--|--------------------|----------------------|--|--|--|---|--------------------------------------|--|--|--|
| (Last) | (Fi VALLEJO V | • | (Middle) | | | 3. Date of Earliest Transaction (Month/Day/Year) 10/05/2015 | | | | | | | | | Officer (give title below) Presiden | | Other (sp below) at & CEO | | pecify | |
| (Street) UPLANI (City) | | | 91784 (Zip) | | - 4. I | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | | | le I - No | | | _ | | | | Dis | - | of, or Be | | | | | | | | |
| Date | | | Date | | | 2A. Deemed Execution Date, if any (Month/Day/Year) | | Code (Instr. | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 5) | | | 4 and Securit Benefic Owned | | es ally Following | Forn (D) o | n: Direct or Indirect nstr. 4) | 7. Nature of Indirect Beneficial Ownership | | |
| | | | | | | | | | Code | v | Amount | (A) or (D) | Price | Tra | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | |
| Common | Common Shares 10/05/20 | | | | | 015 | | | М | | 5,000 | ,000 A \$1 | | 31 82,387.2104 | | 7.2104 | D | | | |
| Common | Shares | | | 10/0 | 5/2015 | 5 | | | S | | 5,000 |) D | \$4 | 12 77,387.2104 D | | | | | | |
| | | Т | | | | | | | | | | , or Ben ble secu | | | ed | | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deem Execution if any (Month/Da | Date, | 4. Transaction Code (Instr. 8) | | n of | | 6. Date E: Expiratio (Month/D | n Date | Amount of | | f s g Security | 8. Pric Deriva Securi (Instr. | tive ty | 9. Number derivative Securities Beneficial Owned Following Reported Transactic (Instr. 4) | ly | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | | | | | Code | v | (A) | (D) | Date Exercisal | | Expiration Date | Title | Amount or Number of Shares | nber | | | | | | |
| Employee Stock Option (Right to | \$19.31 | 10/05/2015 | | | M | | 5,000 | | 01/01/20 | 08 0 | 01/01/2017 | Common Shares | 5,000 | \$0.0 | 0 | 0 | | D | | |

Explanation of Responses:

Remarks:

/s/ Robert J. Sprowls

10/06/2015

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

 $^{^{\}star}$ If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

^{**} Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).