Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.	.C. 20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL	
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) AMERICAN STATES WATER CO [ AWR Pierotti Jon Director 10% Owner Officer (give title Other (specify below) below) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Last) Vice President Reg Affairs 03/13/2024 630 E FOOTHILL BLVD 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Form filed by One Reporting Person X SAN DIMAS CA 91773 Form filed by More than One Reporting Person (City) (State) (Zip) Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature of Indirect Beneficial **Execution Date** Transaction Disposed Of (D) (Instr. 3, 4 and Securities Form: Direct Beneficially (Month/Day/Year) (D) or Indirect if any Code (Instr. 5) Owned Following (Month/Day/Year) 81 (I) (Instr. 4) Ownership (Instr. 4) Transaction(s) (A) or (D) Price Code Amount (Instr. 3 and 4) 3.7412(1) Common Shares 03/01/2024 A Α \$71.64 1,311.9568 D Common Shares 03/13/2024 A 729 **\$0** 2.040.9568 D Α F 47.2333(2) D D Common Shares 03/15/2024 \$71.79 1 993 7235 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## **Explanation of Responses:**

1. Title of

Derivative

Security (Instr. 3)

1. Der units credited on 03/01/2024 at FMV

2. Conversion

or Exercise

Price of

Security

Derivative

3. Transaction

(Month/Day/Year)

Date

2. 47.2333 shares withheld to satisfy tax liability

/s/ Jon Pierotti

Title

Expiration

Date

7. Title and

Amount of

Securities

Derivative

Underlying

Security (Instr. 3 and 4)

Amount Number

of Shares

8. Price of

Derivative

Security (Instr. 5)

9. Number of

derivative

Securities

Following

Reported

(Instr. 4)

Transaction(s)

Owned

Beneficially

11. Nature

of Indirect

Beneficial

(Instr. 4)

Ownership

Ownership

Direct (D)

or Indirect

(I) (Instr. 4)

Form:

03/15/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

3A. Deemed

**Execution Date.** 

(Month/Day/Year)

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

5. Number

Derivative

Securities Acquired

(A) or Disposed

(Instr. 3, 4

of (D)

and 5)

(A) (D)

Transaction Code (Instr.

8)

Code ν 6. Date Exercisable and

Expiration Date (Month/Day/Year)

Date

Exercisable