FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPRO                | OVAL      |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* BONTA DIANA M       |   |  |   |         |            | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [ AWR ] |         |       |                                   |  |                      |   |                                   | (Chec                 | Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director 10% Owner  |   |   |  |  |
|--|---|--|---|---------|------------|--|---------|-------|-----------------------------------|--|----------------------|---|-----------------------------------|-----------------------|--|---|---|--|--|
| (Last)<br>1056 AL  | (Fi   |  | 3. Date of Earliest Transaction (Month/Day/Year) 06/03/2016 |         |            |  |         |       |                                   |  |                      | Offic<br>belov  | er (give title<br>w)              | Other (specify below) |  |   |   |  |  |
| (Street) LOS ANGELES CA 90035 (City) (State) (Zip)           |   |  |   |         |            | 4. If Amendment, Date of Original Filed (Month/Day/Year)                     |         |       |                                   |  |                      |   |                                   | Line)                 | 6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |   |   |  |  |
|  |   | Tabl                                       | e I - No  | n-Deriv | ative      | Sec  | curitie | s Acc | quired                            | , Dis  | posed o              | f, or E   | Benef                             | icially               | Owne   | ed  |   |  |  |
| 1. Title of Security (Instr. 3)  2. Transact Date (Month/Day |   |  |   |         |            | Execution Date,  |         |       |                                   | 4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 |                      |   | and 5) Secur<br>Benef             |                       | cially<br>I Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)                               | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|  |   |  |   |         |            |  | Code    | v     | Amount                            | (A)<br>(D)   | or P                 | rice  | Transa                            | action(s)<br>3 and 4) |  | (111501.4)  |   |  |  |
| Common Shares 06/01/2  |   |  |   |         |            |  | 2016    |       | A                                 |  | 7.7717(              | 1)  | A \$                              | 39.83                 | 24,2   | 256.7081  | D   |  |  |
| Common Shares 06/03/2  |   |  |   |         |            | 2016   |         |       | S                                 |  | 300                  | ]   | ) \$                              | 40.38                 | 23,9   | 956.7081  | D   |  |  |
|  |   | Та   |   |         |            |  |         |       |                                   |  | osed of,<br>onvertib |   |                                   |                       | wned   |   |   |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)          | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deen<br>Executio<br>if any<br>(Month/E                  | n Date, | Code (Inst |  | ion of  |       | 6. Date I<br>Expirati<br>(Month/I | on Da  |                      | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |                                   | Der<br>Sed<br>(Ins    | Price of<br>ivative<br>curity<br>str. 5)   | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|  |   |  |   |         | Code       | v  | (A)     | (D)   | Date<br>Exercisa                  | able   | Expiration<br>Date   | Title   | Amou<br>or<br>Numb<br>of<br>Share | er                    |  |   |   |  |  |

## **Explanation of Responses:**

1. DER units credited on 6/1/2016 as dividend at aFMV

## Remarks:

<u>/s/ Diana M. Bonta</u> <u>06/03/2016</u>

\*\* Signature of Reporting Person Date

 $Reminder: Report \ on \ a \ separate \ line \ for \ each \ class \ of \ securities \ beneficially \ owned \ directly.$ 

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.