SEC Form 3

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person <sup>*</sup> Rowley Paul J			2. Date of Event Requiring Staten (Month/Day/Year 01/25/2016	nent	3. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [ AWR ]						
(Last) (First) (Middle) 22532 CHAPARRO DR			_		4. Relationship of Reporting Perso (Check all applicable) Director	10% Owner Other (specify below)		5. If Amendment, Date of Original Filed (Month/Day/Year)     6. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person			
(Street)			_		X Officer (give title below) VP OF OPERA						
SAUGUS	CA	91350	_		VF OF OFERAL	10113				y More than One	
(City)	(State)	(Zip)									
			Table I - Non	-Derivati	ive Securities Beneficial	y Owned					
1. Title of Security (Instr. 4)					. Amount of Securities eneficially Owned (Instr. 4)			Nature of Indirect Beneficial Ownership nstr. 5)			
					e Securities Beneficially nts, options, convertible		s)				
1. Title of Derivative Security (Instr. 4)			2. Date Exerc Expiration Da (Month/Day/Y	ate	3. Title and Amount of Securi Underlying Derivative Securi	y (Instr. 4) Conve or Exe		ion O ise F	5. Ownership Form: Direct (D)	6. Nature of Indirect Beneficial Ownership (Instr. 5)	
Explanation of	2		Date Exercisable	Expiratior Date	Title	Amount or Number of Shares	Price of Derivativ Security	ve o	Direct (D) or Indirect ) (Instr. 5)		

**Remarks:** 

No securities are beneficially owned.

/s/ Paul J. Rowley

02/04/2016

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.