FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Washington, D.C. 20049

| )                                | Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |  |  |  |  |  |
|----------------------------------|--|--|--|--|--|--|
| Name and Address of Reporting Pe |  |  |  |  |  |  |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287

Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person*  GALLAGHER JAMES B  |   |   |                     |                                 |                              | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [ AWR ] |  |  |   |                  |  |   |                       |                          | Checl  | all app<br>Direc | olicable)<br>ctor   | 10%  | Person(s) to Issuer  10% Owner Other (specify) |  |
|--|---|---|---------------------|---------------------------------|------------------------------|--|--|--|---|------------------|--|---|-----------------------|--------------------------|--|------------------|---|--|--|--|
| (Last) (First) (Middle) 15786 PISTACHIO STREET   |   |   |                     |                                 |                              | 3. Date of Earliest Transaction (Month/Day/Year) 03/14/2016                  |  |  |   |                  |  |   |                       |                          | X Officer (give title Other (specify below)  Vice President  |                  |   |  |  |  |
| (Street) CHINO HILLS CA 91709 (City) (State) (Zip)   |   |   |                     |                                 | - 4. If                      | 4. If Amendment, Date of Original Filed (Month/Day/Year)                     |  |  |   |                  |  |   |                       |                          | Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person |                  |   |  |  |  |
|  |   | 7 | Table I - N         | on-Deriv                        | vative                       | Sec  | uritie   | s Ac   | quired                                  | l, Di            | sposed o   | f, o  | r Ben                 | efici                    | ally   | Owne             | ∍d  |  |  |  |
| 1. Title of Security (Instr. 3)  2. Trans. Date (Month/L   |   |   |                     |                                 | Execution                    |  |  | Date,  | 3.<br>Transaction<br>Code (Instr.<br>8) |                  | 4. Securities Acquired (A) o<br>Disposed Of (D) (Instr. 3, 4 a |   |                       |                          | and 5) Se<br>Be<br>Ov  |                  | ount of<br>ities<br>icially<br>d Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirec<br>(I) (Instr. 4) | of Indirect                                    |  |
|  |   |   |                     |                                 | Code                         | v  | Amount   |  | (A) or<br>(D)                           | Price            | е  |   | action(s)<br>3 and 4) |                          | (instr. 4)   |                  |   |  |  |  |
| Common Shares 03/01/2  |   |   |                     |                                 |                              | 016  |  |  | A                                       |                  | 9.5728   | 1)  | A                     | \$41                     | 1.98 20,   |                  | 282.4393  | D  |  |  |
| Common Shares 03/04/2  |   |   |                     |                                 | 2016                         |  |  |  | A                                       |                  | 490.9142   | 2(2)  | A                     | \$0                      | \$0.00   |                  | 773.3535  | D  |  |  |
| Common Shares 03/14/2  |   |   |                     |                                 | 2016                         |  |  |  | A                                       |                  | 2,010.24   | 22  | A                     | \$40.62                  |  | 22,783.5957      |   | D  |  |  |
| Common Shares 03/14/20   |   |   |                     |                                 | 2016                         | 2016   |  |  | F                                       | F 770.04         |  | 3(3)  | D                     | \$40.62                  |  | 22,013.5494      |   | D  |  |  |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) |   |   |                     |                                 |                              |  |  |  |   |                  |  |   |                       |                          |  |                  |   |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversior<br>or Exercise<br>Price of<br>Derivative<br>Security |   | Execute ear) if any | emed<br>ion Date,<br>/Day/Year) | 4.<br>Transa<br>Code (<br>8) |  | 5. Nu of Deriv Secu Acqu (A) on Dispo of (D) (Instrand 5 | rities<br>lired<br>r<br>osed<br>)<br>r. 3, 4 | 6. Date Expirat (Month)                 | ion Da<br>/Day/Y |  | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amount or Number of Title Shares |                       | nstr. 3<br>nount<br>mber |  |                  | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ownershi<br>Form:<br>Direct (D)<br>or Indirec<br>(I) (Instr. 4   | Beneficial<br>Ownership<br>t (Instr. 4)        |  |

## Explanation of Responses:

- 1. DER units credited on 3/1/2016 as dividend at FMV
- 2. Updated DRIP
- 3. 770.0463 shares withheld to satisfy tax liability

## Remarks:

<u>/s/ James B. Gallagher</u>
\*\* Signature of Reporting Person

03/16/2016

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.