FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	D.C.	20549	
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## OMB APPROVAL 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  SPROWLS ROBERT J  (Last) (First) (Middle)  630 E FOOTHILL BLVD.						2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [ AWR ]  3. Date of Earliest Transaction (Month/Day/Year) 03/10/2021										k all appl Direct	or (give title		10% Owner Other (specify below)		
(Street) SAN DII		tate) (2	2ip)			4. If Amendment, Date of Original Filed (Month/Day/Year)									ine) X	Form filed by More than One Reporting Person					
Table I -			1-	2. Transaction Date (Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		, 3 T	3. Transact		4. Securities Disposed Of		quired (A	A) or		5. Amount of Securities Beneficially Owned Followin Reported		Forr (D) (	n: Direct or rect (I)	7. Nature of Indirect Beneficial Ownership	
								C	Code	v	An	nount	(A) or (D)	Price	Transportion(s)		(Instr. 4)		(Instr. 4)		
Common Shares			03/02/202	21				Α		3	37.7683 <sup>(1)</sup>	A	\$71	.65	137,364.1717			D			
Common Shares			03/04/202	1				A			495.136 <sup>(2)</sup>	A	\$0.	00	137,859.3078			D			
Common	on Shares 03/10/202				1				A		16,282.5383		A	\$72	\$72.16 15		154,141.846		D		
Common	Shares			03/11/202	L			F		8,067.6935 <sup>(3)</sup>		D	\$71	\$71.92 1		146,074.1525		D			
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	Exe if a	. Deemed ecution Date, .ny onth/Day/Year)		saction (Instr.	of Deriv Secu Acqu (A) o Disp of (D	r osed ) r. 3, 4	Exp (Mo	Date Expiration	n Da	ate 'ear)	7. Title and Amount of Securities Underlying Derivative Security (Ins 3 and 4)		Dei	Price of erivative ecurity astr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)	y	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	Code V (A) (D				Date D) Exercisa		Expiration Date	0 N	Amount or Number of Shares							

## **Explanation of Responses:**

- 1. DER units credited on 03/02/2021 as dividend at FMV
- 2. Updated DRIP
- 3. 8067.6935 shares withheld to satisfy tax liability.

## Remarks:

/s/Robert J. Sprowls

03/12/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.