FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| l | OMB APPRO | DVAL | | | | | | | |
|---|--------------------------|-----------|--|--|--|--|--|--|--|
| | OMB Number: | 3235-0287 | | | | | | | |
| | Estimated average burden | | | | | | | | |
| l | hours per response: | 0.5 | | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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|--|---|---|--|----------|-------------------------------|---|--|--|--|--|-----------------------|---|-------------------|--|----------------------------------|--|---|------------------------------------|---|--|
| 1. Name an | | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR] | | | | | | | | | | all app | blicable) ctor | g Perso | Person(s) to Issuer 10% Owner | | | | | |
| (Last) (First) (Middle) 3825 CANFIELD ROAD | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 03/04/2014 | | | | | | | | | | Office below | | | below) | (specify |
| (Street) PASADENA CA 91107 (City) (State) (Zip) | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | i. Indiv ine) X | , | | | | |
| | | Tabl | e I - No | on-Deriv | ative | Sec | uritie | s Ac | quired | l, Dis | sposed o | f, o | r Be | nefici | ally | Owne | ed | | | |
| 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day | | | | | | Execution Date, | | 3. Transa Code (I 8) | | 4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4 a | | | | and 5) Sec Ber Ow | | ount of ities icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership | |
| | | | | | | | Code | v | Amount | | (A) or (D) | Price | • | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | | |
| Common | 2014 |)14 | | A | | 37.6968(1) | | A | \$30 | 0.02 6,8 | | 35.2869 | I |) | | | | | | |
| Common Shares 03/04/2 | | | | | |)14 | | F | | 589.3075 ⁽²⁾ | | D | \$30 | 0.02 | 2 6,245.9794 | | I |) | | |
| Common | Shares | | 03/04/2014 s 1,208 D \$30.86 5,037.9794 D | | | | | | | | | | | | | | | | | |
| | | Та | ıble II - | | | | | | | | osed of, convertib | | | | | vned | | | | |
| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Dee Execution if any (Month/I | | 4. Transa Code (I 8) | nstr. | 5. Nu of Deriv Secu Acqu (A) or Dispo of (D) (Instrand 5 | rities lired r osed) 1. 3, 4 | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expiration Exercisable Date | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) Amoun or Numbe of Title Shares | | mount r umber f | | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Ow For Dire or I (I) (| nership m: ect (D) ndirect Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |

Explanation of Responses:

- 1. DER units credited on 3/3/2014 as dividend at FMV
- $2.\ 1465\ previously\ reported\ RSU's\ of\ which\ 589.3075\ were\ withheld\ to\ satisfy\ tax\ withholding$

/s/ Gladys M. Farrow

03/05/2014

Date

** Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.