SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-028

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	3235-0207

1. Name and Address of Reporting Person [*] <u>TANG EVA G</u>			2. Issuer Name and Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [AWR]		tionship of Reporting Perso all applicable) Director	10% Owner	
,				X	Officer (give title	Other (specify	
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)		below)	below)	
1544 MANOR GATE DRIVE			02/01/2012	Sr. Vice President & CFO			
1544 MANOR (JAIE DRIVE						
(Street) HACIENDA			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	idual or Joint/Group Filing	(Check Applicable	
HACIENDA	CA	91745-3833		X	Form filed by One Repor	ting Person	
					Form filed by More than Person	One Reporting	
(City)	(State)	(Zip)					

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

· / · /										
1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr.		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11150.4)
Common Shares	02/01/2012		F		287.5311(1)	D	\$36.17	7,331.0784	D	
Common Shares	02/01/2012		A		305.048	A	\$ <mark>0</mark>	7,331.0784	Ι	401K
Common Shares	02/01/2012		М		3,700	A	\$25.55	11,031.0874	D	
Common Shares	02/01/2012		S		3,000	D	\$36.5	8,031.0784	D	
Common Shares	02/01/2012		S		700	D	\$37	7,331.0784	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3A. Deemed 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 2. 3. Transaction 4 10. Ownership Derivative Conversion Execution Date, .. Transaction Expiration Date (Month/Day/Year) of Indirect Amount of Derivative derivative (Month/Day/Year) Derivative Security (Instr. 3) or Exercise if anv Code (Instr. Securities Security Securities Form: Beneficial Securities Acquired (A) or Disposed Direct (D) or Indirect Price of Derivative (Month/Dav/Year) 8) Underlying Derivative Security (Instr. 5) Beneficially Ownership (Instr. 4) Owned Following Security (Instr. 3 and 4) (I) (Instr. 4) Reported of (D) Transaction(s) (Instr. 3, 4 and 5) (Instr. 4) Amount or Number Expiration Date of (A) (D) Exercisable Title Shares Code v Date Employee Stock Common 02/01/2012 02/01/2005⁽²⁾ 3,700 Options \$25.55 Μ 3,700 02/01/2014 \$<mark>0</mark> 0 D Shares (Right to Buy)

Explanation of Responses:

1. 690 previously reported RSU's of which 287.5311 were withheld to satisfy tax withholding

2. The option became exercisable as to 33% of the total number of shares subject to the option on 1/1/2004, 33% on 2/1/2006 and 34% on 2/1/2007.

<u>/s/ Eva G. Tang</u>

** Signature of Reporting Person

02/02/2012

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.