Instruction 1(b)

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

wasnington,	D.C.	20549	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL			
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer 1. Name and Address of Reporting Person (Check all applicable) AMERICAN STATES WATER CO [AWR Connor Christopher H Director 10% Owner Officer (give title Other (specify below) below) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Last) (First) **SVP of ASUS** 03/13/2024 630 E FOOTHILL BLVD 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) (Street) Form filed by One Reporting Person X SAN DIMAS CA 91773 Form filed by More than One Reporting Person (City) (State) (Zip) Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of Security (Instr. 3) 2. Transaction 2A. Deemed 4. Securities Acquired (A) or 5. Amount of 6. Ownership 7. Nature of Indirect Beneficial **Execution Date**, Transaction Disposed Of (D) (Instr. 3, 4 and Securities Form: Direct (Month/Day/Year) Beneficially (D) or Indirect if any Code (Instr. 5) Owned Following (Month/Day/Year) 81 (I) (Instr. 4) Ownership (Instr. 4) Transaction(s) (A) or (D) Price Code Amount (Instr. 3 and 4) Common Stocks 03/01/2024 A $6.0538^{(1)}$ Α \$71.64 1,360.7274 D Common Shares 03/13/2024 A 1.056 **\$0** 2,416,7274 D Α F 71.3999(2) D D Common Shares 03/15/2024 \$71.79 2 345 3275 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 2. Conversion 3. Transaction 5. Number 6. Date Exercisable and 7. Title and 8. Price of 9. Number of 11. Nature 3A. Deemed Transaction Code (Instr. **Execution Date.** Expiration Date (Month/Day/Year) Ownership Derivative Date Amount of Derivative derivative of Indirect Security (Instr. 3) or Exercise (Month/Day/Year) if any Derivative Securities Security (Instr. 5) Securities Form: Beneficial Price of (Month/Day/Year) 8) Securities Acquired Underlying Beneficially Direct (D) Ownership Derivative Derivative Owned or Indirect (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Following (I) (Instr. 4) Security Reported of (D) Transaction(s) (Instr. 3, 4 (Instr. 4) and 5) Amount Number Expiration

Explanation of Responses:

- 1. Der units credited on 03/01/2024 at FMV
- 2. 71.3999 shares withheld to satisfy tax liability

/s/ Christopher H. Connor 03/15/2024

of Shares

Title

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code ν (A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date

Exercisable

Date