SEC Form 5

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FORM 5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).
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Form 3 Holdings Reported.

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OMB APPROVAL
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Estimated average b hours per response:

Form 4 Transac	tions Reported.		Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940						
1. Name and Addre	1 1) Person [*]	AMERICAN STATES WATER CO [AWR] (Check all applii X Director	or 10% Owner					
(Last) PO BOX 2380	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) Diffeed below)	(give title Other (specify below)					
,			4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or . Line)	6. Individual or Joint/Group Filing (Check Applicable					
(Street) BIG FORK	MT	59911	X Form 1	filed by One Reporting Person filed by More than One Reporting					
(City)	(State)	(Zip)	r eisu	1					
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned									

1. Title o	of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of	6. Ownership Form: Direct (D) or	7. Nature of Indirect Beneficial Ownership
			(Monul/Day/real)	6)	Amount	(A) or (D)	Price	Issuer's Fiscal Year (Instr. 3 and 4)	Indirect (I) (Instr. 4)	(Instr. 4)
Comm	on Stock	08/12/2008		Α	68.3722 ⁽¹⁾	A	\$40.62	15,290.8766	D	
Comm	on Stock	11/12/2008		Α	86.4238 ⁽²⁾	A	\$32.28	15,377.3004	D	
Comm	on Stock	12/31/2008		А	181.9272 ⁽³⁾	A	\$ <mark>0</mark>	15,559.2276	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	n of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. DER units credited on 08/12/2008 as dividends at FMV

2. DER units credited on 11/12/2008 as dividends at FMV

3. Updated dividend reinvestment plan

/s/ Lloyd E. Ross

** Signature of Reporting Person Date

02/02/2009

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.