## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 **FORM 8-K**

### CURRENT REPORT

Pursuant to Section 13 OR 15(d) of The Securities Exchange Act of 1934

Date of Report (date of earliest event reported): November 1, 2021

## AMERICAN STATES WATER COMPANY

(Exact name of registrant as specified in its charter)

California

630 East Foothill Blvd.

(Address of Principal Executive Offices)

001-14431

95-4676679 (I.R.S. Employer Identification No.)

(State or other jurisdiction of incorporation or organization)

(Commission File Number) CA

91773-1212 (Zip Code)

(909) 394-3600

Registrant's telephone number, including area code

## **GOLDEN STATE WATER COMPANY**

(Exact name of registrant as specified in its charter)

California	001-120	008	95-1243678
(State or other jurisdiction of incorporation or organization)	(Commission Fi	le Number)	(I.R.S. Employer Identification No.)
<b>630 East Foothill Blvd.</b> (Address of Principal Executive Offices)	San Dimas	CA	<b>91773-1212</b> (Zip Code)
	Not Ap	plicable	

(Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	<u>Trading Symbol(s)</u>	Name of each exchange on which registered
American States Water Company Common Shares	AWR	New York Stock Exchange

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter). Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

San Dimas

# Item 5.02. Departure of Directors or Principal Officers; Election of Directors; Appointment of Principal Officers; Compensatory Arrangements of Certain Officers

Mr. Bryan K. Switzer, Vice President – Regulatory Affairs for Golden State Water Company, a wholly owned subsidiary of American States Water Company, has informed the Company that he intends to retire from the Company on January 14, 2022.

### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

November 5, 2021

### AMERICAN STATES WATER COMPANY:

/s/ Eva G. Tang

Eva G. Tang Senior Vice President-Finance, Chief Financial Officer, Corporate Secretary and Treasurer

November 5, 2021

#### **GOLDEN STATE WATER COMPANY:**

/s/ Eva G. Tang

Eva G. Tang Senior Vice President-Finance, Chief Financial Officer and Secretary