## FORM 4

obligations may continue. See Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

<b>STATEMENT</b>	<b>OF CHANGES</b>	IN BENEFICIAL	<b>OWNERSHIP</b>

OMB APPROVAL

OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>GALLAGHER JAMES B</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [ AWR ]									Check	all app	olicable) ctor	g Person(s) to I:	Owner	
(Last) (First) (Middle) 15786 PISTACHIO STREET				3. Date of Earliest Transaction (Month/Day/Year) 01/31/2017									X Officer (give title Other (specify below)  Vice President						
(Street)	HILLS C.	A	91709		4. If Amendment, Date of				of Original Filed (Month/Day/Year)						Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting				son
(City)	(S	tate)	(Zip)													Pers		o man one resp	orung
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned																			
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year)		Execution Date,		3. Transaction Code (Instr. 8)		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a				and 5) Secu Bene		cially d Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership			
							Code	v	Amount		(A) or (D)	Price	T		ted action(s) 3 and 4)		(Instr. 4)		
Common	Shares			06/01/	2016				A		10.1433	(1)	A	\$39	.83	22,0	)23.6927	D	
Common	Shares			09/01/	2016				A		10.4577	(2)	A	\$38	3.85	22,0	34.1504	D	
Common Shares			12/01/2016					A		10.3411(3)		A	\$42.69		22,044.4915		D		
Common Shares			12/01/	12/01/2016				A		346.9359(4)		A	\$0.00		22,391.4274		D		
Common Shares		12/30/	12/30/2016				A		264.94 <sup>(5)</sup>		A	\$0.00		6,388.93		I	401k		
Common Shares		12/30/	80/2016				D		1.5894 <sup>(6)</sup>		D	\$0.00		22,389.838		D			
Common Shares		01/31/	01/31/2017				A		679		A	\$0.00		23,068.838		D			
Common Shares		02/03/2017				F		195.7143 <sup>(7)</sup>		D	\$44	\$44.24 22,		373.1237	D				
Common Shares		02/03/2017				F		138.6698(8)		D	\$44	1.24	22,7	734.4539	D				
Common	Common Shares 02/03/2		2017	017			F		107.5522(9)		D	\$44	1.24	22,6	526.9017	D			
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																			
1. Title of Derivative Security (Instr. 3)	Title of crivative security str. 3)  2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  (Month/Day/Year)  3. Deemed Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  8)		Transa Code (		5. Number of tr. Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exerci Expiration Da (Month/Day/Y		isable and te ear) S U S a		7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4)  Amount or Numbe of Title Shares		Deriv	rivative curity str. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s (Instr. 4)	Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		

## **Explanation of Responses:**

- 1. DER units credited on 6/1/2016 as dividend at FMV
- 2. DER units credited on 9/1/2016 as dividend at FMV
- 3. DER units credited on 12/1/2016 as dividend at FMV
- 4. Updated DRIP
- 5. Updated 401K
- 6. Adjustment due to partial share
- 7. 447.0403 previously reported RSU's of which 195.7143 were withheld to satisfy tax liability
- 8. 316.7420 previously reported RSU's of which 138.6698 were withheld to satisfy tax liability
- 9. 245.6653 previously reported RSU's of which 107.5522 were withheld to satisfy tax liability

## Remarks:

/s/ James B. Gallagher

02/09/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.