| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
|  |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
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| 1. Name and Address of Reporting Person <sup>*</sup><br>KRUGER DENISE L |                     |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [ AWR ] |                        | ationship of Reporting Pe<br>< all applicable)<br>Director                  | 10% Owner                          |
|---|---------------------|----------|--|------------------------|---|------------------------------------|
| (Last)<br>630 E FOOTHI  | (First)<br>LL BLVD. | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>01/29/2019                             | X                      | Officer (give title<br>below)<br>Sr. Vice Presid                            | Other (specify<br>below)<br>sident |
| (Street)<br>SAN DIMAS   | СА                  | 91773    | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                   | 6. Indiv<br>Line)<br>X | vidual or Joint/Group Fili<br>Form filed by One Re<br>Form filed by More th | porting Person                     |
| (City)  | (State)             | (Zip)    |  |                        | Person  |                                    |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

|                                 | • • •                                      |   |                              |   |  |               |         |   |   |   |
|---------------------------------|--|---|------------------------------|---|--|---------------|---------|---|---|---|
| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transa<br>Code (<br>8) |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and 5) |               |         | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|                                 |  |   | Code                         | v | Amount   | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4)  |   | (1130.1.4)  |
| Common Shares                   | 06/01/2018                                 |   | A                            |   | 13.5078(1)   | Α             | \$56.36 | 9,742.081   | D   |   |
| Common Shares                   | 08/31/2018                                 |   | A                            |   | 13.6476 <sup>(2)</sup>   | Α             | \$60.43 | 9,755.7286  | D   |   |
| Common Shares                   | 12/03/2018                                 |   | A                            |   | 12.1799 <sup>(3)</sup>   | Α             | \$68.02 | 9,767.9085  | D   |   |
| Common Shares                   | 01/10/2019                                 |   | D                            |   | 2.093(4)   | D             | \$0.00  | 9,765.8155  | D   |   |
| Common Shares                   | 01/10/2019                                 |   | A                            |   | 149.015  | Α             | \$0.00  | 18,188.8925   | Ι   | 401k  |
| Common Shares                   | 01/29/2019                                 |   | A                            |   | 910  | Α             | \$0.00  | 10,675.8155   | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of  |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr. 3<br>and 4) |       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|---|---|--|---|------------------------------|---|-----|-----|--|---|-------|---|--|--|--|--|
|   |   |  |   | Code                         | v | (A) | (D) | Date<br>Exercisable                            | Expiration<br>Date  | Title | Amount<br>or<br>Number<br>of<br>Shares              |  |  |  |  |

Explanation of Responses:

1. DER Units credited on 06/01/2018 as dividend at FMV

2. DER Units credited on 08/31/2018 as dividend at FMV

3. DER unites credited on 12/03/2018 as dividend at FMV

4. Adjustment due to partial share

**Remarks:** 

<u>/s/ Denise L Kruger</u>

\*\* Signature of Reporting Person Date

01/31/2019

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.