SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

longer subject to
or Form 5
inue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

1. Name and Address of Reporting Person [*] SPROWLS ROBERT J			2. Issuer Name and Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [AWR]		tionship of Reporting Pers all applicable) Director	son(s) to Issuer 10% Owner	
(Last) 1713 N VALLEJO	(First) (Middle) EJO WAY		3. Date of Earliest Transaction (Month/Day/Year) 01/31/2017	X	Officer (give title below) President & C	Other (specify below) CEO	
(Street) UPLAND (City)	CA (State)	91784 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Filing Form filed by One Repo Form filed by More than Person	orting Person	

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code (8)					5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(
Common Shares	12/30/2016		A		1,283(1)	Α	\$0.00	17,487.84	Ι	401k
Common Shares	12/30/2016		D		2.326 ⁽²⁾	D	\$0.00	92,920.0204	D	
Common Shares	01/31/2017		A		5,072	Α	\$0.00	97,992.0204	D	
Common Shares	02/03/2017		F		783.7988 ⁽³⁾	D	\$44.24	97,208.2216	D	
Common Shares	02/03/2017		F		598.9062 ⁽⁴⁾	D	\$44.24	96,609.3154	D	
Common Shares	02/03/2017		F		613.1487 ⁽⁵⁾	D	\$44.24	95,996.1667	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) or Dispo of (D)	of Expirati Derivative Securities Acquired A) or Disposed of (D) Instr. 3, 4			7. Title Amour Securi Underl Deriva Securi and 4)	nt of ties ying tive ty (Instr. 3	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. Updated 401k

2. Adjustment due to partial share

3. 1947.7074 previously reported RSU's of which 783.7988 were withheld to satisfy tax liability

4. 1610.9678 previously reported RSU's of which 598.9062 were withheld to satisfy tax liability

5. 1631.5824 previously reported RSU's of which 613.1487 were withheld to satisfy tax liability

Remarks:

/s/ Robert J. Sprowls

** Signature of Reporting Person

02/09/2017

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.