FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL | | | | | | | |
|---------------------|----------|--|--|--|--|--|--|
| OMB Number: | 3235-028 | | | | | | |
| Estimated average I | hurden | | | | | | |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

hours per response: 0.5

| 1. Name and Address of Reporting Person* FARROW GLADYS | | | | | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR] | | | | | | | | | | all appl Direct | icable) or | 10% | Owner | |
|---|--|--|--|---|---|--|---|---|--|---|--|--|---|---|--|--|--|--|--|
| (Last) (First) (Middle) 3825 CANFIELD ROAD | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/13/2016 | | | | | | | | | X | | ') `` | below) | | |
| | | | | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | . Individual or Joint/Group Filing (Check Applicable ine) X Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | | |
| | Tab | le I - No | n-Deriv | ative | Se | curitie | s Acc | quired, | Dis | posed o | f, o | r Ben | efici | ally C | Owne | d | | | |
| Date | | | Date | n/Day/Year) if | | Execution Date, if any | | Transaction Disposed Code (Instr. 5) | | | | | nd | 5. Amount of Securities Beneficially Owned Following | | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
| | | | | | | | | | v | Amount | (A) or (D) | | Price | . 1 | Transaction(s) (Instr. 3 and 4) | | | (111501.4) | |
| Common Shares 05/13 | | | 05/13 | 3/2016 | | | | | | 1,521 | 1 D \$ | | \$40 | .07 | 07 2,386.2348 | | D | | |
| | Ta | | | | | | | | | | | | | y Ow | ned | | | | |
| Derivative Conversion Date | | Execution if any | n Date, Transaction Code (Ins | | | 5. Number on of | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | | | nount of curities derlying rivative curity (In d 4) | ount | Deriv Secui | ative rity . 5) | derivative Securities Beneficially Owned Following Reported | Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| | (FONFIELD FONFIELD FO | (First) NFIELD ROAD NA CA (State) Tab ecurity (Instr. 3) Shares 2. Conversion or Exercise Price of Date (Month/Day/Year) | (First) (Middle) NFIELD ROAD NA CA 91107 (State) (Zip) Table I - Note that the content of th | (First) (Middle) NFIELD ROAD NA CA 91107 (State) (Zip) Table I - Non-Derive (Month/L) Shares 2. Transaction Date (Month/L) Conversion or Exercise Price of Derivattive Price of Derivative 3. Transaction Date (Month/Day/Year) (Month/Day/Year) | (First) (Middle) NFIELD ROAD A. If NA CA 91107 (State) (Zip) Table I - Non-Derivative ecurity (Instr. 3) 2. Transaction Date (Month/Day/Year) Conversion or Exercise Price of Derivative Price of Derivative (Month/Day/Year) 3. C 2. Transaction Date (Month/Day/Year) Shares 3. Transaction Date (Month/Day/Year) (Month/Day/Year) 3. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) 4. 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Transaction Date (e.g., puts, calls, warr America 3. Date of Earlies 05/13/2016 5. Nu Transaction Code (Instr. Security) (Month/Day/Year) 4. Transaction Code (Instr. Security) (A) o Dispute (Instr. Security) (Month/Day/Year) | (First) (Middle) NFIELD ROAD Table I - Non-Derivative Securities Acquired (Month/Day/Year) Table II - Derivative Securities Acquired (e.g., puts, calls, warrants, (Month/Day/Year) Table II - Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 disposed of (D) (Instr. 3, 4) | (First) (Middle) NFIELD ROAD Table I - Non-Derivative Securities Acquired, Month/Day/Year) (State) (Zip) Table I - Non-Derivative Securities Acquired, Month/Day/Year) (State) (Zip) Table II - Derivative Securities Acquired, Month/Day/Year) (State) (Zip) Table II - Derivative Securities Acquired, D (e.g., puts, calls, warrants, option (Month/Day/Year) (Month/Day/Year) | AMERICAN STATES WA AMERICAN STATES WA 3. Date of Earliest Transaction (Month/ 05/13/2016 AMERICAN STATES WA 3. 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Explanation of Responses:

Remarks:

/s/ Gladys M. Farrow

05/16/2016

** Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.