FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| l | OMB APPRO | VAL |
|---|-------------------------|-----------|
| | OMB Number: | 3235-0287 |
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| l | hours per response: | 0.5 |

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

| | | | | | | | () | | | | прапу Аст | | | | | | | | | |
|--|--|-------|--|------------------------------|--|--|---|---------|---|---|---|---------|----------|--|---|---|--|--|---------------------------------|---|
| | d Address of III James | | 2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR] | | | | | | | | | | | olicable) | ., | erson(s) to Issuer 10% Owner | | | | |
| (Last) (First) (Middle) 11905 TEESIDE DR | | | | | | 3. Date of Earliest Transaction (Month/Day/Year) 11/04/2015 | | | | | | | | | X | Office below | er (give title w) Senior Vio | be | Other (specify below) President | |
| (Street) FREDERICKSBURG VA 22407 (City) (State) (Zip) | | | | | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | | | | | dividual or Joint/Group Filing (Check Applicable) Form filed by One Reporting Person Form filed by More than One Reporting Person | | | | |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned | | | | | | | | | | | | | | | | | | | | |
| 1. Title of Security (Instr. 3) 2. Transact Date (Month/Day | | | | | | Execution Date, | | | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4 | | | | and 5) Sec Bei Ow | | ount of ities icially d Following | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | 7. Nature of Indirect Beneficial Ownership |
| | | | | | | | Code | v | Amount | | (A) or (D) | Price | | Reported Transaction(s) (Instr. 3 and 4) | | | | (Instr. 4) | | |
| Common | Shares | /2015 | 015 | | | A | | 16.2061 | (1) | A | \$38 | .61 4,8 | | 40.5273 | D | | | | | |
| Common Shares 09/01/2 | | | | | | | 015 | | A | | 17.9068 | (2) | A | \$36.95 | | 4,858.4341 | | D | | |
| Common Shares 11/04/2 | | | | | | | 015 | | F | | 75.0982 | | D | D \$41.13 | | 4,783.3359 | | D | | |
| | Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) | | | | | | | | | | | | | | | | | | | |
| 1. Title of Derivative Security (Instr. 3) | tive Conversion Date Execution Date, or Exercise (Month/Day/Year) if any | | | 4. Transa Code (8) | | 5. Nu of Deriv Secu Acqu (A) o Disp of (D (Insti | 6. Date Exercisable and Expiration Date (Month/Day/Year) Date Expiration Expiration Date Expiration Date Expiration Date Expiration Date | | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. and 4) Amoun or Numbe of Title Shares | | | nt er | | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4) | Owners Form: Direct (or Indir (I) (Inst | hip O) ect | 11. Nature of Indirect Beneficial Ownership (Instr. 4) | | |

Explanation of Responses:

- 1. Der units credited on 6/1/2015 as dividend at FMV
- 2. Der units credited on 9/1/2015 as dividend at FMV

Remarks:

/S/ James C. Cotton III 11/04/2015

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.