SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number: 3235-0287							
Estimated average burden							
hours per response	: 0.5						

Instruc	tion 1(b).			Filed			ection 16(a) 0(h) of the Ir					934				0.0
1. Name and Address of Reporting Person* SWITZER BRYAN K				2. Issuer Name and Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [AWR]							heck all app Direc	licable)		o Issuer Owner er (specify		
(Last) 630 E FC		(First) (Middle) THILL BLVD			3. Date of Earliest Transaction (Month/Day/Year) 05/01/2020						A below	below) below) below) Vice President				
(Street) SAN DI (City)			1773 Zip)		4. If A	Amendr	nent, Date o	f Origina	al File	d (Month/Da	ıy/Year)		ne) X Form	filed by On filed by Mo	p Filing (Chec e Reporting P re than One F	erson
		Table	I - Non-D	Periva	tive S	Secur	ities Acq	uired,	Dis	posed of	, or Be	nefici	ally Own	ed		
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da			te	Execution Date,		ution Date,	3.4. Securities Acquired Disposed Of (D) (Instr. 5)08)			nd Securit Benefi	ties cially Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	t Beneficial Ownership			
							Code	v	Amount	(A) or (D)	Price	Transa	ed ction(s) 3 and 4)		(Instr. 4)	
Common Shares 05/01/2					/2020		S		400	D	\$78.	.57 9,00	6.8774	D		
		Ta	ble II - Dei (e.ç				ies Acqu varrants,							d		
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Date, Security or Exercise (Month/Day/Year) if any		4. Transaction Code (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		Amount Securitie Underlyi Derivativ Security 3 and 4)	7. Title and 8. 1 Amount of De Securities Se Underlying Derivative Security (Instr. 3 and 4)		9. Number derivative Securities Beneficiall Owned Following Reported Transactio (Instr. 4)	Owners Form: Direct (I or Indire (I) (Instr	Beneficial Ownershi ct (Instr. 4)				

Explanation of Responses:

Remarks:

/s/ Bryan K. Switzer

or Number

of

Shares

Title

** Signature of Reporting Person Date

05/04/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Code v (A) (D)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Date Exercisable

Expiration Date