Instruction 1(b).

FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, | D.C. | 20549 |  |
|-------------|------|-------|--|
|-------------|------|-------|--|

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |           |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response:      | 0.5       |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name ar SWITZ (Last) 630 E FC                    | AMERICAN STATES WATER CO [ AWR ]  3. Date of Earliest Transaction (Month/Day/Year) 06/01/2020 |  |              |                                   |                |  |     |   |                               |                           | all app<br>Direc                 | licable)<br>tor<br>er (give title                             |   | 10% Owner<br>Other (specify<br>below) |   |   |   |  |  |  |
|---|---|--|--------------|-----------------------------------|----------------|--|-----|---|-------------------------------|---------------------------|----------------------------------|---|---|---------------------------------------|---|---|---|--|--|--|
| (Street) SAN DII (City)                             |   |  | 1773<br>Zip) |                                   | 4. If A        | 4. If Amendment, Date of Original Filed (Month/Day/Year) |     |   |                               |                           |                                  |   |   | 6. Indiv<br>_ine)<br>X                | Form                                      | or Joint/Group Filing (Check Applicable<br>m filed by One Reporting Person<br>m filed by More than One Reporting<br>son |   |  |  |  |
| 1. Title of Security (Instr. 3) 2. Train Date       |   |  |              | 2. Transac                        | Execution Date |  |     | ate,  | 3.<br>Transa<br>Code (1<br>8) | ction                     | 4. Securitie<br>Disposed O<br>5) | s Acqu  | ired (A) o  | r                                     | 5. Amo<br>Securit<br>Benefic              | ount of<br>ties<br>cially   | Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)  |  |
| Common Shares                                       |   |  |              | 00/01/5                           | 2020           |  |     |   | Code                          | v                         | Amount                           | (A)<br>(D)  |   |                                       | (Instr. :                                 | ction(s)<br>3 and 4)  |   |  |  |  |
| Common  |   |  |              | 06/01/2                           |                |  |     |   | S<br>A                        |                           | 4.6521(1)                        | A   | <del>-   '</del>                                    | 82<br>1.16                            |   | 606.8774 D<br>611.5295 D  |   |  |  |  |
|   |   | Tal  | ble II -     |                                   |                |  |     |   | ,                             |                           | osed of, convertib               |   |   | •                                     | Owne                                      | d   |   |  |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security                         | 3. Transaction<br>Date<br>(Month/Day/Year) | if any       | emed<br>tion Date,<br>n/Day/Year) |                | Transaction<br>Code (Instr.                              |     | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) |                               | Exerc<br>ion Da<br>/Day/Y |                                  | 7. Titl<br>Amou<br>Secur<br>Under<br>Derive<br>Secur<br>3 and | int of<br>rities<br>rlying<br>ative<br>rity (Instr. | Der<br>Sec<br>(Ins                    | Price of<br>rivative<br>curity<br>str. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction (Instr. 4)                         | Owner<br>Form:<br>Direct<br>or Indi<br>(I) (Ins   | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
|   |   |  |              |                                   | Code           | v  | (A) | (D)   | Date<br>Exercis               | sable                     | Expiration<br>Date               | Title   | Amount<br>or<br>Number<br>of<br>Shares              |                                       |   |   |   |  |  |  |

**Explanation of Responses:** 

1. DER unites credited on 06/01/2020 as dividend at FMV

## Remarks:

Bryan K. Switzer

06/03/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.