| SEC Form 4 |  |
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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

|             | s may continue. See |
|-------------|---------------------|
| Instruction |                     |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
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|                        |                     |          | or Section 30(h) of the Investment Company Act of 1940                                     |                        |   |  |
|------------------------|---------------------|----------|--|------------------------|---|--|
| 1. Name and Addre      | 1 0                 | son*     | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>AMERICAN STATES WATER CO</u> [ AWR ] | (Check                 | tionship of Reporting Perso<br>all applicable)<br>Director<br>Officer (give title | on(s) to Issuer<br>10% Owner<br>Other (specify |
| (Last)<br>630 E FOOTHI | (First)<br>LL BLVD. | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/16/2019                             | X                      | below)<br>President & C   | below)   |
| (Street)<br>SAN DIMAS  | СА                  | 91773    | 4. If Amendment, Date of Original Filed (Month/Day/Year)                                   | 6. Indiv<br>Line)<br>X | idual or Joint/Group Filing<br>Form filed by One Repor<br>Form filed by More than | ting Person                                    |
| (City)                 | (State)             | (Zip)    |  |                        | Person  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code (Instr.<br>8) |   |        | Acquirec<br>f (D) (Instr | l (A) or<br>. 3, 4 and | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |  |
|---------------------------------|--|---|---|---|--------|--------------------------|------------------------|---|---|---|--|
|                                 |  |   | Code                                    | v | Amount | (A) or<br>(D)            | Price                  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                |   | (Instr. 4)  |  |
| Common Shares                   | 05/16/2019                                 |   | М                                       |   | 675    | A                        | \$16.68                | 119,170.3852  | D   |   |  |
| Common Shares                   | 05/16/2019                                 |   | S                                       |   | 100    | D                        | \$73.06                | 119,070.3852  | D   |   |  |
| Common Shares                   | 05/16/2019                                 |   | S                                       |   | 75     | D                        | \$73.04                | 118,995.3852  | D   |   |  |
| Common Shares                   | 05/16/2019                                 |   | S                                       |   | 100    | D                        | \$73.02                | 118,895.3852  | D   |   |  |
| Common Shares                   | 05/16/2019                                 |   | S                                       |   | 400    | D                        | \$73                   | 118,495.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | М                                       |   | 3,538  | A                        | \$16.68                | 122,033.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 100    | D                        | \$73.06                | 121,933.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 100    | D                        | \$73.11                | 121,833.3852  | D   |   |  |
| Common SHares                   | 05/17/2019                                 |   | S                                       |   | 100    | D                        | \$73.04                | 121,733.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 200    | D                        | \$73                   | 121,533.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 200    | D                        | \$73.03                | 121,333.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 300    | D                        | \$73.01                | 121,033.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 500    | D                        | \$73.02                | 120,533.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 200    | D                        | \$73.05                | 120,333.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 100    | D                        | \$73.15                | 120,333.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 200    | D                        | \$73.17                | 120,033.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 100    | D                        | \$73.19                | 119,933.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 200    | D                        | \$73.2                 | 119,733.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 200    | D                        | \$73.22                | 119,533.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 100    | D                        | \$73.29                | 119,433.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 273    | D                        | \$73.3                 | 119,160.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 86     | D                        | \$73.27                | 119,074.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 110    | D                        | \$73.26                | 118,964.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 200    | D                        | \$73.34                | 118,764.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 100    | D                        | \$73.31                | 118,664.3852  | D   |   |  |
| Common Shares                   | 05/17/2019                                 |   | S                                       |   | 169    | D                        | \$73.32                | 118,495.3852  | D   |   |  |

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br><del>(Instr. 3)</del> | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction T<br>Date<br>(Month/Day/Year) | ADL off the period<br>Execution Date,<br>if any (e.g.,<br>(Month/Day/Year) | <b>afive</b><br>Transa<br><b>OUU</b> &, (<br> | Secu<br>Galls | Acquir<br>(A) or<br>Dispos<br>of (D)<br>(Instr.<br>and 5) | ties<br><sup>r</sup> ed<br>sed | ui Pett Edis<br>Expiration Da<br>(NOPNOB)<br>(NOPNOB)   | <del>ຼ່ງຢ່ະຍາ</del> ປ<br>ແຂວ<br>ອີດອານerti | Or <sup>Ti</sup> Bern<br>Amount o<br>Stec States<br>Underlyin<br>Derivative<br>(Instr. 3 ar                          | g<br>Security                          | Derivative<br>Security<br>(Instr. 5)                | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br><del>Direct (D)</del><br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br><del>Ownership</del><br>(Instr. 4) |
|--|---|--|--|---|---------------|---|--------------------------------|---|--|--|--|---|--|---|---|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)            | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year)   | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year)                | 4.<br>Transa<br>Code (<br>8)<br>Code          | Instr.        | of<br>Derivative<br>Securities                            |                                | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>Date Expiration<br>Exercisable Date |  | 7. Title and Amount<br>Amount of or<br>Securities Number<br>Underlying of<br>Perivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4)            | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4)            |
|  |   |  |  | Code  | v             | (A)   | (D)                            | Date<br>Exercisable   | Expiration<br>Date                         | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |   |   |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)              | \$16.68   | 05/16/2019                                   |  | М   |               | 675   |                                | 01/31/2011  | 01/31/2020                                 | Common<br>Shares   | 675                                    | \$0.00  | 0  | D   |   |
| Employee<br>Stock<br>Options<br>(Right to<br>Buy)              | \$16.68   | 05/17/2019                                   |  | М   |               | 3,538   |                                | 01/31/2011  | 01/31/2020                                 | Common<br>Shares   | 3,538                                  | \$0.00  | 0  | D   |   |

Explanation of Responses:

**Remarks:** 

/s/ Robert J. Sprowls

05/20/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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